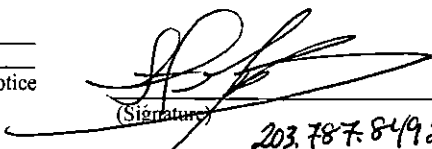


NVB 3001 (Effective 1/21)

UNITED STATES BANKRUPTCY COURT FOR THE DISTRICT OF NEVADA		PROOF OF INTEREST
Name of Debtor: META MATERIALS	Case Number: 24-50792	RECEIVED AND FILED DEC 18 2024 U.S. BANKRUPTCY COURT MARY A. SCHOTT, CLERK
1. Name and address of holder of the Equity Interest (the person or entity holding an Equity Interest in the Debtor. Referred to hereinafter as the "Interest holder"): Stefano Fasulo 10 Cardinal Drive Wallingford, CT 06492	<input type="checkbox"/> Check box if you are aware that anyone else has filed a proof of interest relating to your interest. Attach copy of statement giving particulars. <input checked="" type="checkbox"/> Check box if you have never received any notices from the bankruptcy court or the Debtors in this case. <input type="checkbox"/> Check box if this address differs from the address on the envelope sent to you by the Debtors.	
Telephone Number: 203-787-8492		
NOTE: This form SHOULD NOT be used to make a claim against the Debtor for money owed. A separate Proof of Claim form should be used for that purpose. This form should only be used to assert an Equity Interest in the Debtor. An Equity Interest is any right arising from any capital stock and any equity security in any of the Debtor. An equity security is defined in the Bankruptcy Code as (a) a share in a corporation whether or not transferable or denominated stock or similar security, (b) interest of a limited partner in a limited partnership, or (c) warrant or right other than a right to convert, to purchase, sell, or subscribe to a share, security, or interest of a kind specified in subsection (a) or (b) herein.		COURT USE ONLY
Account or other number by which Interest holder identifies Debtor: 499-316939	Check here if this claim: <input type="checkbox"/> replaces a previously filed Proof of Interest dated: _____ <input type="checkbox"/> amends a previously filed Proof of Interest dated: _____	
2. Name and Address of any person or entity that is the record holder for the Equity Interest asserted in this Proof of Interest: ETrade Securities LLC PO Box 484, Jersey City, NJ 07303-0484 Telephone Number: 800-387-2331	3. Date Equity Interest was acquired: Oct. 12, 2022 - Present * Please see attached.	
4. Total amount of member interest: 100 Shares Post R/S One hundred	5. Certificate number(s): _____	
6. Type of Equity Interest: Please indicate the type of Equity Interest you hold: <input checked="" type="checkbox"/> Check this box if your Equity Interest is based on an actual member interest held in the Debtor. <input type="checkbox"/> Check this box if your Equity Interest is based on anything else and describe that interest: Description: Investor		
7. Supporting Documents: Attach copies of supporting documents, such as stock certificates, option agreements, warrants, etc. DO NOT SEND ORIGINAL DOCUMENTS. If the documents are not available, explain. If the documents are voluminous, attach a summary.		
8. Date-Stamped Copy: To receive an acknowledgement of the filing of your Proof of Interest, enclose a stamped, self-addressed envelope and copy of this Proof of Interest.		
9. Signature: Check the appropriate box. <input checked="" type="checkbox"/> I am the creditor. <input type="checkbox"/> I am the creditor's authorized agent. <input type="checkbox"/> I am the trustee, or the debtor, or their authorized agent. <input type="checkbox"/> I am a guarantor, surety, endorser, or other codebtor. (See Bankruptcy Rule 3005.) (Attach copy of power of attorney, if any.) (See Bankruptcy Rule 3004.)		
I declare under penalty of perjury that the information provided in this claim is true and correct to the best of my knowledge, information, and reasonable belief.		
Print Name: Stefano Fasulo Title: _____ Company: _____ Address and telephone number (if different from notice address above): _____	 (Signature) 203.787.8492 Telephone number:	12/12/24 (Date) email: gfcaruso7@gmail.com

Penalty for presenting fraudulent claim is a fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 AND 3571

Print Form

Save Form

Clear Form



CLIENT STATEMENT | For the Period November 1-30, 2024

STATEMENT FOR:

STEFANO FASULO TOD
SUBJECT TO STA RULES

[REDACTED]
Includes Accrued Interest

Access Your Account Online At
www.etrade.com or call 800-387-2331

*Morgan Stanley Smith Barney LLC. Member SIPC.
E*TRADE is a business of Morgan Stanley.*

[REDACTED]

STEFANO FASULO TOD
SUBJECT TO STA RULES
10 CARDINAL DR
WALLINGFORD CT 06492

INVESTMENTS AND INSURANCE PRODUCTS: NOT FDIC INSURED • NOT A BANK DEPOSIT •
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MAY LOSE VALUE • UNLESS SPECIFICALLY NOTED, ALL VALUES ARE DISPLAYED IN USD

[REDACTED]



from Morgan Stanley

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CLIENT STATEMENT | For the Period November 1-30, 2024

Account Detail

Self-Directed Brokerage Account
9-203STEFANO FASULO TOD
SUBJECT TO STA RULES

Security Description	Quantity	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
<i>Asset Class: Equities</i>							
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]		
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]		
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]		
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]		
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]		
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]		
META MATERIALS INC NEW (MMATQ)	100.000	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]		
<i>Asset Class: Equities</i>							
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]		
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]		
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]		
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]		
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]		
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]		
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]		

OPTIONS (Contract Prices are presented to only the third decimal (which may display as "\$0.000"), while calculation of Market Value uses an extended price.)

Security Description	Number of Contracts	Contract Price	Total Cost	Market Value	Unrealized Gain/(Loss)
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]



CLIENT STATEMENT | For the Period January 1-31, 2024

STATEMENT FOR:
STEFANO FASULO TOD
SUBJECT TO STA RULES

Beginning Total Value (as of 1/1/24)
Ending Total Value (as of 1/31/24)
Includes Accrued Interest

Access Your Account Online At
www.etrade.com or call 800-387-2331

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STEFANO FASULO TOD
SUBJECT TO STA RULES
10 CARDINAL DR
WALLINGFORD CT 06492

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MAY LOSE VALUE • UNLESS SPECIFICALLY NOTED, ALL VALUES ARE DISPLAYED IN USD**



from Morgan Stanley

CLIENT STATEMENT | For the Period January 1-31, 2024

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Account Detail

Self-Directed Brokerage Account
[REDACTED] 9-203STEFANO FASULO TOD
SUBJECT TO STA RULES

Security Description	Quantity	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]		
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]		
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]		
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]		
META MATERIALS INC NEW (MMAT)	170.000	3.820	3,096.60	649.40	(2,447.17)	—	—
Asset Class: Equities							
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]		
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]		
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]		
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]		
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]		
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]		

OPTIONS (Contract Prices are presented to only the third decimal (which may display as "\$0.000"), while calculation of Market Value uses an extended price.)

Security Description	Number of Contracts	Contract Price	Total Cost	Market Value	Unrealized Gain/(Loss)
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]



from Morgan Stanley

CLIENT STATEMENT | For the Period January 1-31, 2024

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Account Detail

Self-Directed Brokerage Account
9-203STEFANO FASULO TOD
SUBJECT TO STA RULES

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
STOCKS	94.59%	\$5,738.47	\$900.06	\$(3,823.45)	—	—

For listed options that have a cost basis without a corresponding market value, an unrealized gain or loss is included for informational purposes. Where market value information is not available, for purposes of calculating the unrealized gain or loss, we assume that market value is \$0. In such cases, the unrealized gain or loss may not provide an accurate reflection of the true unrealized gain or loss. For additional information regarding Gain/(Loss) and Pricing, refer to the Expanded Disclosures.

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
TOTAL VALUE	100.00%	\$5,738.47	\$951.53	\$(3,823.45)	\$0.01	—

Unrealized Gain/(Loss) totals only reflect positions that have both cost basis and market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' or 'Pending Corporate Actions' are not included.

ALLOCATION OF ASSETS

	Cash	Equities	Fixed Income & Preferred Securities	Alternatives	Structured Investments	Other
Cash, BDP, MMFs	\$51.47	—	—	—	—	—
Stocks	—	\$900.06	—	—	—	—
TOTAL ALLOCATION OF ASSETS	\$51.47	\$900.06	—	—	—	—

ACTIVITY

CASH FLOW ACTIVITY BY DATE

Activity Date	Settlement Date	Activity Type	Description	Comments	Quantity	Price	Credits/(Debits)
1/26	1/26	Bought	META MATERIALS INC	ACTED AS AGENT UNSOLICITED TRADE a/o 01/24/24	1,700.000	0.0608	(103.35)
1/29		Service Fee	META MATERIALS INC	MANDATORY REORG FEE			(38.00)



from Morgan Stanley

CLIENT STATEMENT | For the Period January 1-31, 2024

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Account Detail

Self-Directed Brokerage Account

203

STEFANO FASULO TOD
SUBJECT TO STA RULES

CASH FLOW ACTIVITY BY DATE (CONTINUED)

Activity Date	Settlement Date	Activity Type	Description	Comments	Quantity	Price	Credits/(Debits)
1/30		Service Fee Adj	Mandatory Reorg Fee Reversal -				38.00
NET CREDITS/(DEBITS)							\$27.71

Purchase and Sale transactions above may have received an average price execution. Details regarding the actual prices are available upon request.

MONEY MARKET FUND (MMF) AND BANK DEPOSIT PROGRAM ACTIVITY

Activity Date	Activity Type	Description	Credits/(Debits)

TRANSFERS, CORPORATE ACTIONS AND ADDITIONAL ACTIVITY

CORPORATE ACTIONS

Activity Date	Activity Type	Description	Comments	Quantity
1/29	Exchange Delivered Out	META MATERIALS INC		(17,000.000)
1/29	Exchange Received In	META MATERIALS INC NEW		170.000
1/29	Name Change From	CALL MMAT 01/17/25 0.500		
1/29	Name Change To	CALL MMAT1 01/17/25 0.500		

OPTIONS EXPIRATIONS, EXERCISES AND ASSIGNMENTS

Activity Date	Activity Type	Description	Comments	Contracts

MESSAGES

Senior Investor Helpline

For any inquiries or potential concerns, senior investors or someone acting on their behalf may contact our Firm by calling (800) 280-4534.

Important information about your 2023 tax reporting

If your E*TRADE account was transferred to Morgan Stanley Smith Barney LLC (MSSB) in 2023, you may receive multiple tax forms for 2023: tax forms for your original E*TRADE account that reports all activity with E*TRADE Securities LLC before the transfer date, and additional tax forms for your transferred account that reports all activity with MSSB after the transfer date. Please note, there may be a slight timing difference in the availability of the two forms. If your brokerage or stock plan account(s) do not meet the minimum IRS threshold for taxable activity, we'll post a notification under Documents > General Correspondence. For more information on what to expect, visit www.etrade.com/taxyear2023.

Remember, if you also have a full service account with MSSB, you'll receive a separate tax form for that account, which will be available on Morgan Stanley Online. Only forms for your E*TRADE account will be available in the Tax Center.



EQUINITI TRUST COMPANY, LLC
 OPERATIONS CENTER
 PO BOX 500
 NEWARK, NJ 07101

STEFANO FASULO
 10 CARDINAL DR
 WALLINGFORD CT 06492

Statement Date: October 19, 2024
 Company Name: META MATERIALS INC (NEW)
 Company Number: 27756
 Stock Exchange: NASD
 Company Ticker Symbol: MMAT
 CUSIP: 59134N302
 Account Number: 0000010205
 W9/W8/W8BENE Certified: Yes
 Dividend Amount Paid YTD: \$0.00

Balance Detail as of 10/19/2024

Total Shares	Closing Price Per Share	Estimated Value
70.000	\$0.525	\$36.81

Stock quotes are provided for informational purposes only. The quotes are supplied by an independent third-party as of a particular date. AST does not guarantee the accuracy of such information as at the date of this statement or at any future date. Neither AST nor its provider will be liable for any errors, incompleteness, or delays in the information herein, or for any actions taken in reliance thereon.

Dividend Reinvestment or Direct Stock Purchase Shares Held by AST

Number of Shares: 0.000

Book Entry Held by AST

Issue Date	Book Entry Number	Number of Shares	Restricted
08/20/2024	BK*0000228	70.000	No

**Neither AST/EQ nor Etracore is able to
 provide me a certificate #.*
SPF

If you have questions, please call our office at 800-937-5449. Our representatives are available to assist you Monday through Friday from 8:00 a.m. to 8:00 p.m. Eastern Time. You may also email us by selecting "Contact Us" on www.astfinancial.com



AST0127756000010205



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from Morgan Stanley

CLIENT STATEMENT | For the Period November 1-30, 2024

STATEMENT FOR:
STEFANO FASULO TOD
SUBJECT TO STA RULES

Beginning Total Value (as of 11/1/24)

\$ 483.19

Ending Total Value (as of 11/30/24)

\$ 557.39

Includes Accrued Interest

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STEFANO FASULO TOD
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CLIENT STATEMENT | For the Period November 1-30, 2024

from Morgan Stanley

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Account Detail

Self-Directed Brokerage Account
499-316939-203STEFANO FASULO TOD
SUBJECT TO STA RULES

Security Description	Quantity	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
<i>Asset Class: Equities</i>							
CLEAN VISION CORP (CLNV)	644.000	0.019	48.58	11.98	(36.60)	—	—
<i>Asset Class: Equities</i>							
CROWN ELECTROKINETICS CORP (CRKN)	1.000	0.280	25.65	0.28	(25.37)	—	—
<i>Asset Class: Equities</i>							
FINGERMOTION INC (FNGR)	31.000	2.040	222.55	63.24	(159.31)	—	—
<i>Asset Class: Equities</i>							
GAMESTOP CORP CL A NEW (GME)	7.000	29.050	228.53	203.35	(25.18)	—	—
<i>Asset Class: Equities</i>							
GEVO INC (GEVO)	10.000	1.650	15.35	16.50	1.15	—	—
<i>Asset Class: Equities</i>							
GLOBAL TECH INDS GROUP INC COM (GTII)	80.000	0.030	92.30	2.41	(89.89)	—	—
<i>Asset Class: Equities</i>							
META MATERIALS INC NEW (MMATQ)	100.000	0.061	1,259.54	6.10	(1,252.07)	—	—
<i>Asset Class: Equities</i>							
METATRON INC (MRNJ)	100.000	0.000	4.96	0.01	(4.95)	—	—
<i>Asset Class: Equities</i>							
MICROMOBILITY INC CL A (MCOM)	2.000	0.010	148.52	0.02	(24.97)	—	—
<i>Asset Class: Equities</i>							
MINERALRITE CORP NEW COM (RITE)	146,239.000	0.001	234.16	87.74	(146.42)	—	—
<i>Asset Class: Equities</i>							
MULLEN AUTOMOTIVE INC NEW (MULN)	1.000	2.690	804.82	2.69	(802.11)	—	—
<i>Asset Class: Equities</i>							
PROFITABLE DEVS INC COM (PRDL)	55,022.000	0.000	92.99	5.50	(87.49)	—	—
<i>Asset Class: Equities</i>							
TRUMP MEDIA & TECH GROUP CORP (DJT)	1.000	31.600	33.45	31.60	(1.85)	—	—
<i>Asset Class: Equities</i>							
COMMON STOCKS			\$3,286.84	\$442.81	\$(2,719.11)	—	—

OPTIONS (Contract Prices are presented to only the third decimal (which may display as "\$ 0.000"), while calculation of Market Value uses an extended price.)

Security Description	Number of Contracts	Contract Price	Total Cost	Market Value	Unrealized Gain/(Loss)
CALL META MATERIALS INC NEW AT 0.500 EXPIRES 01/17/2025 (MMAT1 250117C00000500)	3.000	\$0.000	\$13.53	\$0.03	\$(13.50)
<i>Adjusted Option; Contract = 1.00000 Share; Asset Class: Equities</i>					



from Morgan Stanley

CLIENT STATEMENT | For the Period January 1-31, 2024

STATEMENT FOR:
STEFANO FASULO TOD
SUBJECT TO STA RULES

Beginning Total Value (as of 1/1/24)

\$ 1,354.55

Ending Total Value (as of 1/31/24)

\$ 951.53

Includes Accrued Interest

Access Your Account Online At
www.etrade.com or call 800-387-2331

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from Morgan Stanley

CLIENT STATEMENT | For the Period January 1-31, 2024

Page 5 of 8

Account Detail

Self-Directed Brokerage Account
499-316939-203STEFANO FASULO TOD
SUBJECT TO STA RULES

Investment Objectives (in order of priority): Capital Appreciation

Brokerage Account

Inform us if your investment objectives, as defined in the Expanded Disclosures, change.

HOLDINGS

This section reflects positions purchased/sold on a trade date basis. "Market Value" and "Unrealized Gain/(Loss)" may not reflect the value that could be obtained in the market. Your actual investment return may differ from the unrealized gain/(loss) displayed. Fixed Income securities are sorted by maturity or pre-refunding date, and alphabetically within date. Estimated Annual Income a) is calculated on a pre-tax basis, b) does not include any reduction for applicable non-US withholding taxes, c) may include return of principal or capital gains which could overstate such estimates, and d) for holdings that have a defined maturity date within the next 12 months, is reflected only through maturity date. Actual income or yield may be lower or higher than the estimates. Current Yield is an estimate for informational purposes only. It reflects the income generated by an investment, and is calculated by dividing the total estimated annual income by the current market value of the entire position. It does not reflect changes in its price. Structured Investments, identified on the Position Description Details line as "Asset Class: Struct Inv," may appear in various statement product categories. When displayed, the accrued interest, annual income and current yield for those with a contingent income feature (e.g., Range Accrual Notes or Contingent Income Notes) are estimates and assume specified accrual conditions are met during the relevant period and payment in full of all contingent interest. For Floating Rate Securities, the accrued interest, annual income and current yield are estimates based on the current floating coupon rate and may not reflect historic rates within the accrual period.

For additional information related to Unrealized and Realized Gain/(Loss) and tax lot details, including cost basis, please visit www.etrade.com. The information presented on the statement should not be used for tax purposes.

CASH, BANK DEPOSIT PROGRAM AND MONEY MARKET FUNDS

Cash, Bank Deposit Program, and Money Market Funds are generally displayed on a settlement date basis. You have the right to instruct us to liquidate your bank deposit balance(s) or shares of any money market fund balance(s) at any time and have the proceeds of such liquidation remitted to you. Estimated Annual Income, Accrued Interest, and APY% will only be displayed for fully settled positions. Under the Bank Deposit Program, free credit balances held in an account(s) at Morgan Stanley Smith Barney LLC are automatically deposited into an interest-bearing deposit account(s), at Morgan Stanley Bank, N.A. and/or Morgan Stanley Private Bank, National Association, each a national bank, FDIC member and an affiliate of Morgan Stanley. For more information regarding the Bank Deposit Program, go to www.etrade.com/bdpdisclosure. Interest from required Pattern Day Trader minimum equity amounts retained in Cash Balance Program.

Description	Market Value	7-Day Current Yield %	Est Ann Income	APY %
MORGAN STANLEY BANK N.A.	\$51.47	—	\$0.01	0.010

	Percentage of Holdings	Market Value	Est Ann Income
CASH, BDP, AND MMFs	5.41%	\$51.47	\$0.01

STOCKS

COMMON STOCKS

Security Description	Quantity	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
AVENUE THERAPEUTICS INC (ATXI) <i>Asset Class: Equities</i>	212.000	\$0.148	\$68.94	\$31.38	\$(37.56)	—	—
CLEAN VISION CORP (CLNV) <i>Asset Class: Equities</i>	644.000	0.038	48.58	24.41	(24.17)	—	—



from Morgan Stanley

CLIENT STATEMENT | For the Period January 1-31, 2024

Page 6 of 8

Account Detail

Self-Directed Brokerage Account
499-316939-203STEFANO FASULO TOD
SUBJECT TO STA RULES

Security Description	Quantity	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
CROWN ELECTROKINETICS CORP (CRKN) <i>Asset Class: Equities</i>	1.000	0.131	25.65	0.13	(25.52)	—	—
FINGERMOTION INC (FNGR) <i>Asset Class: Equities</i>	31.000	2.370	222.55	73.47	(149.08)	—	—
FM4 NXTBRG HYDROCARBONS CONTRA <i>Asset Class: Equities</i>	153.000	N/A	891.40	N/A	N/A	—	—
GLOBAL TECH INDS GROUP INC COM (GTII) <i>Asset Class: Equities</i>	70.000	0.268	86.15	18.76	(67.39)	—	—
META MATERIALS INC NEW (MMAT) <i>Asset Class: Equities</i>	170.000	3.820	3,096.60	649.40	(2,447.17)	—	—
MICROMOBILITY INC CL A (MCOM) <i>Asset Class: Equities</i>	2.000	0.022	148.52	0.04	(24.95)	—	—
MINERALRITE CORP NEW COM (RITE) <i>Asset Class: Equities</i>	146,239.000	0.001	234.16	73.12	(161.04)	—	—
MULLEN AUTOMOTIVE INC NEW (MULN) <i>Asset Class: Equities</i>	2.000	7.020	801.44	14.04	(787.40)	—	—
PROFITABLE DEVS INC COM (PRDL) <i>Asset Class: Equities</i>	55,022.000	0.000	92.99	11.00	(81.99)	—	—
VIRIOS THERAPEUTICS INC (VIRI) <i>Asset Class: Equities</i>	4.000	0.410	7.96	1.64	(6.32)	—	—
COMMON STOCKS			\$5,724.94	\$897.39	\$(3,812.59)	—	—

OPTIONS (Contract Prices are presented to only the third decimal (which may display as "\$0.000"), while calculation of Market Value uses an extended price.)

Security Description	Number of Contracts	Contract Price	Total Cost	Market Value	Unrealized Gain/(Loss)
CALL META MATERIALS INC NEW AT 0.500 EXPIRES 01/17/2025 (MMAT1 250117C00000500) <i>Adjusted Option; Contract = 1.00000 Share; Asset Class: Equities</i>	3.000	\$0.009	\$13.53	\$2.67	\$(10.86)



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Account Detail

Self-Directed Brokerage Account
499-316939-203STEFANO FASULO TOD
SUBJECT TO STA RULES

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
STOCKS	94.59%	\$5,738.47	\$900.06	\$(3,823.45)	—	—

For listed options that have a cost basis without a corresponding market value, an unrealized gain or loss is included for informational purposes. Where market value information is not available, for purposes of calculating the unrealized gain or loss, we assume that market value is \$ 0. In such cases, the unrealized gain or loss may not provide an accurate reflection of the true unrealized gain or loss. For additional information regarding Gain/(Loss) and Pricing, refer to the Expanded Disclosures.

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
TOTAL VALUE	100.00%	\$5,738.47	\$951.53	\$(3,823.45)	\$0.01	—

Unrealized Gain/(Loss) totals only reflect positions that have both cost basis and market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' or 'Pending Corporate Actions' are not included.

ALLOCATION OF ASSETS

	Cash	Equities	Fixed Income & Preferred Securities	Alternatives	Structured Investments	Other
Cash, BDP, MMFs	\$51.47	—	—	—	—	—
Stocks	—	\$900.06	—	—	—	—
TOTAL ALLOCATION OF ASSETS	\$51.47	\$900.06	—	—	—	—

ACTIVITY

CASH FLOW ACTIVITY BY DATE

Activity Date	Settlement Date	Activity Type	Description	Comments	Quantity	Price	Credits/(Debits)
1/4		Online Transfer	ACH DEPOSIT	REFID:97147519906;			\$100.00
1/4	1/8	Bought	AVENUE THERAPEUTICS INC	ACTED AS AGENT UNSOLICITED TRADE	151.000	0.3272	(49.41)
1/4	1/8	Bought	AVENUE THERAPEUTICS INC	ACTED AS AGENT UNSOLICITED TRADE	61.000	0.3201	(19.53)
1/24		Online Transfer	ACH DEPOSIT	REFID:98573385906;			100.00
1/26	1/26	Bought	META MATERIALS INC	ACTED AS AGENT UNSOLICITED TRADE a/o 01/24/24	1,700.000	0.0608	(103.35)
1/29		Service Fee	META MATERIALS INC	MANDATORY REORG FEE			(38.00)



from Morgan Stanley

CLIENT STATEMENT | For the Period January 1-31, 2024

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Account Detail

Self-Directed Brokerage Account
499-316939-203STEFANO FASULO TOD
SUBJECT TO STA RULES

CASH FLOW ACTIVITY BY DATE (CONTINUED)

Activity Date	Settlement Date	Activity Type	Description	Comments	Quantity	Price	Credits/(Debits)
1/30		Service Fee Adj	Mandatory Reorg Fee Reversal -				38.00
NET CREDITS/(DEBITS)							\$ 27.71

Purchase and Sale transactions above may have received an average price execution. Details regarding the actual prices are available upon request.

MONEY MARKET FUND (MMF) AND BANK DEPOSIT PROGRAM ACTIVITY

Activity Date	Activity Type	Description	Credits/(Debits)
1/5	Automatic Investment	BANK DEPOSIT PROGRAM	\$ 100.00
1/8	Automatic Redemption	BANK DEPOSIT PROGRAM	(68.94)
1/25	Automatic Investment	BANK DEPOSIT PROGRAM	100.00
1/26	Automatic Redemption	BANK DEPOSIT PROGRAM	(103.35)
NET ACTIVITY FOR PERIOD			\$ 27.71

TRANSFERS, CORPORATE ACTIONS AND ADDITIONAL ACTIVITY

CORPORATE ACTIONS

Activity Date	Activity Type	Description	Comments	Quantity
1/29	Exchange Delivered Out	META MATERIALS INC		(17,000.000)
1/29	Exchange Received In	META MATERIALS INC NEW		170.000
1/29	Name Change From	CALL MMAT 01/17/25 0.500		
1/29	Name Change To	CALL MMAT1 01/17/25 0.500		

OPTIONS EXPIRATIONS, EXERCISES AND ASSIGNMENTS

Activity Date	Activity Type	Description	Comments	Contracts
1/22	Option Expired	CALL MMAT 01/19/24 0.500	EXPIRED OPTIONS	10.000

MESSAGES

Senior Investor Helpline

For any inquiries or potential concerns, senior investors or someone acting on their behalf may contact our Firm by calling (800) 280-4534.

Important information about your 2023 tax reporting

If your E*TRADE account was transferred to Morgan Stanley Smith Barney LLC (MSSB) in 2023, you may receive multiple tax forms for 2023: tax forms for your original E*TRADE account that reports all activity with E*TRADE Securities LLC before the transfer date, and additional tax forms for your transferred account that reports all activity with MSSB after the transfer date. Please note, there may be a slight timing difference in the availability of the two forms. If your brokerage or stock plan account(s) do not meet the minimum IRS threshold for taxable activity, we'll post a notification under Documents > General Correspondence. For more information on what to expect, visit www.etrade.com/taxyear2023.

Remember, if you also have a full service account with MSSB, you'll receive a separate tax form for that account, which will be available on Morgan Stanley Online. Only forms for your E*TRADE account will be available in the Tax Center.